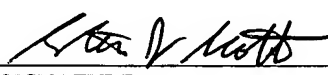


| | | | |
|--|-------------------------------------|---|--|
| FORM PTO-1390 REV. 2/01T | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | CUSTOMER NO. 22,852 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | ATTORNEY'S DOCKET NUMBER |
| | | | 06267.0124 |
| | | | U.S. APPLICATION NO. (If known, see 37CFR1.5) |
| INTERNATIONAL APPLICATION NO. | | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| PCT/FI03/00255 | | 03 April 2003 | April 3, 2002 |
| TITLE OF INVENTION POLYCYCLIC COMPOUNDS AS POTENT ALPHA2-ADRENOCEPTOR ANTAGONISTS | | | |
| APPLICANT(S) FOR DO/EO/US David DIN BELLE, Reija JOKELA, Arto TOLVANEN, Antti HAAPALINNA, Arto KARJALAINEN, Jukka SALLINEN and Jari RATILAINEN | | | |
| Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| 1. | <input type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C 371. | |
| 2. | <input checked="" type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | |
| 3. | <input type="checkbox"/> | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | |
| 4. | <input type="checkbox"/> | The US has been elected by the expiration of 19 months from the priority date (Article 31). | |
| 5. | <input type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). | |
| | a. | <input type="checkbox"/> | is attached hereto (required only if not communicated by the International Bureau. |
| | b. | <input type="checkbox"/> | has been communicated by the International Bureau. |
| | c. | <input type="checkbox"/> | is not required, as the application was filed with the United States Receiving Office (RO/US). |
| 6. | <input type="checkbox"/> | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | |
| | a. | <input type="checkbox"/> | is attached hereto. |
| | b. | <input type="checkbox"/> | has been previously submitted under 35 U.S.C. 154 (d)(4). |
| 7. | <input type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). | |
| | a. | <input type="checkbox"/> | are attached hereto (required only if not communicated by the International Bureau). |
| | b. | <input type="checkbox"/> | have been communicated by the International Bureau. |
| | c. | <input type="checkbox"/> | have not been made; however, the time limit for making such amendments has NOT expired. |
| | d. | <input type="checkbox"/> | have not been made and will not be made. |
| 8. | <input type="checkbox"/> | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | |
| 9. | <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | |
| 10. | <input type="checkbox"/> | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | |
| Items 11 to 20 below concern document(s) or information included: | | | |
| 11. | <input type="checkbox"/> | Information Disclosure Statement under 37 CFR 1.97 and 1.98 | |
| 12. | <input type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | |
| 13. | <input type="checkbox"/> | A FIRST preliminary amendment. | |
| 14. | <input type="checkbox"/> | A SECOND or SUBSEQUENT preliminary amendment. | |
| 15. | <input type="checkbox"/> | A Substitute specification. | |
| 16. | <input type="checkbox"/> | A change of power of attorney and/or address letter. | |
| 17. | <input type="checkbox"/> | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. | |
| 18. | <input type="checkbox"/> | A second copy of the published international application under 35 U.S.C. 154 (d)(4). | |
| 19. | <input type="checkbox"/> | A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). | |
| 20. | <input checked="" type="checkbox"/> | Other items or information: | |
| | a. | <input type="checkbox"/> | Copy of cover page of International Publication No. WO |
| | b. | <input checked="" type="checkbox"/> | Copy of Notification of Missing Requirements. |
| | c. | <input type="checkbox"/> | Communication Regarding Power of Attorney |

| | | | | | |
|---|--------------|--|--|---|----|
| U.S. APPLICATION NO. (If known, see 37CFR 1.5) 10/510,019 | | INTERNATIONAL APPLICATION NO. PCT/FI03/00255 | | ATTORNEY'S DOCKET NUMBER 06267.0124 | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33 (1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | | | | CALCULATIONS PTO USE ONLY | |
| | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 | | | | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | - 20 = | | x \$18.00 | \$ | |
| Independent Claims | - 3 = | | x \$86.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | +\$290.00 | \$ | |
| TOTAL OF THE ABOVE CALCULATIONS = | | | | \$130.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | \$ | |
| SUBTOTAL = | | | | \$130.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | 130.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$130.00 | |
| 06/03/2005 SNAJARRO 00000047 10510019 01 FC:161 130.00 OP | | | | Amount to be refunded: | \$ |
| | | | | charged: | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>130.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, NW Washington, D.C. 20001-4413 | | | | | |
| DATED: May 31, 2005 | | |  SIGNATURE STEVEN J. SCOTT, REG. NO. 43,911 NAME/REGISTRATION NO. | | |
| | | | | | |



UNITED STATES PATENT AND TRADEMARK OFFICE

PCT/PTO 31 MAY 2005

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 P.O. Box 1450
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|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/510,019 | David Din Belle | 06267.0124 |

INTERNATIONAL APPLICATION NO.

PCT/FI03/00255

I.A. FILING DATE

PRIORITY DATE

04/03/2003

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER

LLP

901 NEW YORK AVENUE, NW
WASHINGTON, DC 20001-4413

CONFIRMATION NO. 8626

371 FORMALITIES LETTER



OC000000015660150

MAY 1 6 2005

FARABOW, HENDERSON, FINNEGAN, GARRETT & DUNNER, LLP

Date Mailed: 05/11/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/01/2004
- Copy of the International Search Report filed on 10/01/2004
- Copy of IPE Report filed on 10/01/2004
- Preliminary Amendments filed on 10/01/2004
- Information Disclosure Statements filed on 10/01/2004
- U.S. Basic National Fees filed on 10/01/2004
- Priority Documents filed on 10/01/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/510,019 | PCT/FI03/00255 | 06267:0124 |

FORM PCT/DO/EO/905 (371 Formalities Notice)